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| FORM PTO-1                                                                        | 449 (Modified)            |                                                               | Attorney Docket No.           | Application No.: |                                              |                      |  |
| LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT |                           |                                                               | A-1-9                         | 10/621,839       |                                              | 39                   |  |
| (Use several sheets if necessary)                                                 |                           |                                                               | Applicant: Philip Egg         | gers             |                                              |                      |  |
|                                                                                   |                           | IE 0 ' 2000 3                                                 | Filing Date:<br>July 16, 2003 | Group: 3         | 3739                                         |                      |  |
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| Examiner<br>Initial                                                               | Document No.              | PARDEMAR                                                      | Name                          | Class            | Sub-<br>class                                | Filing Date          |  |
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

| FORM PTO-1                                                                                                       | 449 (Modified)                        |                     | Attorney Docket No.        | Applicat   | Application No.: |                         |  |
|------------------------------------------------------------------------------------------------------------------|---------------------------------------|---------------------|----------------------------|------------|------------------|-------------------------|--|
| LIST OF PA<br>APPLICANT'<br>STATEMENT                                                                            | ATENTS AND PUBLIC<br>S INFORMATION DI | SCLOFORF            | A-1-9                      | 10/621,839 |                  |                         |  |
| (Use several                                                                                                     | sheets if necessary                   | ) THE SUM           | Applicant: Philip Eg       | gers       |                  |                         |  |
|                                                                                                                  |                                       | THE O LETTER STATES | Filing Date: July 16, 2003 | Group: 3   | 3739             |                         |  |
| Deference                                                                                                        | Designation                           | THADEMAN U.S        |                            |            |                  |                         |  |
| Reference Designation  U.S. PATENT DOCUMENTS  Examiner Document No. Date Name Class Subclass Filing Date Initial |                                       |                     |                            |            |                  |                         |  |
| Initial                                                                                                          |                                       |                     |                            |            |                  |                         |  |
|                                                                                                                  |                                       | FOREI               | GN PATENT DOCUMENTS        |            |                  |                         |  |
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DEC O 4 2003 Application No.: Attorney Docket No. FORM PTO-1449 (Modified) LIST OF PATENTS AND PUBLICATION BADE 10/621,839 A-1-9 APPLICANT'S INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary) Applicant: Philip Eggers Group: 3739 Filing Date: July 16, 2003 U.S. PATENT DOCUMENTS Reference Designation Filing Date Sub-Class Name Date Document No. class Examiner Initial FOREIGN PATENT DOCUMENTS Translation Sub-Class Country Date Document No. (yes/no) class 17/39 A61B WIPO 04/05/90 90/03152 BT 3/02 A61N 01/07/77 France 2313949 BU A61B 5/00 WIPO 10/28/93 93/20747 BV OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.) Codman & Shurtleff, Inc. "The Malis Bipolar Electrosurgical System CMC-III Instruction Manual" 7/1991 BW Valley Forge's New Products, CLINICA, 475, 5, 11/6/91 BX Valley Forge Scientific Corp., "Summary of Safety and Effective Information BY from 510K, " 1991 Codman & Shurtleff, Inc. "The Malis Bipolar Coagulating and Bipolar Cutting BZSystem CMC-II" brochure, early 1991 L. Malis, "The Value of Irrigation During Bipolar Coagulation" See ARTC 21602, CA early 4/9/93 L. Malis, "Excerpted from a seminar by Leonard I. Malis, M.D. at the 1995 American Association of Neurological Surgeons Meeting," 1995 CB L. Malis, "Electrosurgery, Technical Note," J. Neursurg., Vol. 85, 970-975, CC 11/96 Ian E. Shuman, "Bipolar Versus Monopolar Electrosurgery: Clinical
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| FORM PTO-14              | 49 (Modified)                                     |                                                                                                                                                                                         | Attorney Docket No.                                                    | Application No.: |                      |                         |  |  |  |
| APPLICANT'S<br>STATEMENT | ENTS AND PUBLIC INFORMATION DI                    | SCLOSUR                                                                                                                                                                                 | A-1-9                                                                  | 10/621,839       |                      | 39                      |  |  |  |
| (Use several s           | sheets if necessary                               | ) / ()                                                                                                                                                                                  | Abblicant: Philip Egg                                                  | ers              |                      |                         |  |  |  |
|                          |                                                   | B DEE O P. ST.                                                                                                                                                                          | Applicant: Philip Egg Fuling Date: July 16, 2003                       | Group: 3         | 3739                 |                         |  |  |  |
| D. F                     | Reference Designation PATENT DOCUMENTS            |                                                                                                                                                                                         |                                                                        |                  |                      |                         |  |  |  |
| <del></del>              | Document No.                                      | Date                                                                                                                                                                                    | Name                                                                   | Class            | Sub-                 | Filing Date             |  |  |  |
| Examiner<br>Initial      | Document No.                                      |                                                                                                                                                                                         | <u> </u>                                                               |                  | class                | <u></u>                 |  |  |  |
|                          |                                                   | FORE                                                                                                                                                                                    | IGN PATENT DOCUMENTS                                                   | <del></del>      |                      | m                       |  |  |  |
|                          | Document No.                                      | Date                                                                                                                                                                                    | Country                                                                | Class            | Sub-<br>class        | Translation<br>(yes/no) |  |  |  |
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| FORM PTO-14                                                                                                      | 49 (Modified)                            |                | Attorney Docket No.           | Applica        | Application No.: |                         |  |
| LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCURF STATEMENT (Use several sheets if necessary) |                                          |                | A-1-9                         |                | 10/621,839       |                         |  |
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|                                                                                                                  | \<br>\tag{\tag{\tag{\tag{\tag{\tag{\tag{ | TRADEMANU.S.   | Filing Date:<br>July 16, 2003 | Group:         | 3739             |                         |  |
| Reference [                                                                                                      | Designation                              | TRADEMANU.S.   | PATENT DOCUMENTS              |                |                  | <del></del>             |  |
| Examiner<br>Initial                                                                                              | Document No.                             | Date           | Name                          | Class          | Sub+<br>class    | Filing Date             |  |
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|                                                                                                                  | OTHER ART                                | (Including Aut | hor, Title, Date, Per         | tinent Pag     | ges, Etc.        | )                       |  |
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| EXAMINER                                                                                                         | P. J.                                    | DATE           | CONSIDERED 4/4                | 10-1           |                  |                         |  |



EFS ID:

51556

Application ID:

10621839

METHODS FOR

**ELECTROSURGICAL TISSUE** 

Title of Invention:

TREATMENT BETWEEN SPACED

**APART ELECTRODES** 

First Named Inventor:

Philip Eggers

Domestic/Foreign Application:

**Domestic Application** 

Filing Date:

2003-07-16

Effective Receipt Date:

2003-12-02

Submission Type:

Information Disclosure

Statement

Filing Type:

Confirmation number:

1768

Attorney Docket Number:

NONE

Total Fees Authorized:

Digital Certificate Holder: cn=John Thomas Raffle,ou=Registered Attorneys,ou=Patent and

Trademark Office,ou=Department of Commerce,o=U.S. Government,c=US Certificate Message Digest: fb8dc6aaa62f01b4e470870af32e3e134555e984



Electronic Version v1.1
Stylesheet Version v1.1.0

Title of Invention

METHODS FOR ELECTROSURGICAL TISSUE TREATMENT BETWEEN SPACED APART ELECTRODES

**Application Number:** 

10/621839

Date:

2003-07-16

First Named Applicant:

Philip E Eggers

Confirmation Number:

1768

Attorney Docket Number:

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| Submitted by:                            | Elec. Sign. | Sign. Capacity |
|------------------------------------------|-------------|----------------|
| Richard Batt<br>Registered Number: 43485 | RRB         | Attorney       |

Documents being submitted

**Files** 

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Electronic Version v18
Stylesheet Version v18.0

Title of Invention

METHODS FOR ELECTROSURGICAL TISSUE TREATMENT BETWEEN SPACED APART ELECTRODES

**Application Number:** 

10/621839

Confirmation Number:

1768

First Named Applicant:

Philip Eggers

Attorney Docket Number:

Search string:

( 5496314 or 5496317 or 5571100 or 5633578 or 5860975 or 4682596 or 5078717 or 5267994 or 5273524 or 5300069 or 5312400 or 5334140 or 5342357 or 5514130 or 5569242 or 4593691 or 4931047 or 4998933 or 5178620 or 5366443 or 5380277 or 5419767 or 5697281 or 5697882 or 5697909 or 4532924 or 4765331 or 4976711 or 5125928 or 4043342 or 4228800 or 4248231 or 4326529 or 4381007 or 4476862 or 4706667 or 5009656 or 5584872 or 5609151 or 5676693 or 5725524 or 4232676 or 5007908 or 5370675

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or 4823791 or 5098431 ).pn.

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|    | 35 | 4476862 | 1984-10-16 | Pao                |
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|    | 46 | 4040426 | 1977-08-09 | Morrison, Jr.      |
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| T | 50 | 5098431 | 1992-03-24 | Rydell          |

# Remarks

Note: Remarks are not for responding to an office action.

This submission is part 2 of 6 parts each submitted on December 2, 2003. The first part of this submission (part 1) has been concomittently filed via first class mail with instructions for the PTO to charge the proper fee. Accordingly, we do not believe that this part (part 2) of this IDS submission should require an additional fee. Please charge our deposit account not more than one IDS submission fee for the entire submission consisting of six parts. Thank you.

| Examiner Name | Date    |
|---------------|---------|
| fell          | 4126/04 |



EFS ID:

51561

Application ID:

Title of Invention:

10621839

METHODS FOR

**ELECTROSURGICAL TISSUE** 

TREATMENT BETWEEN SPACED

**APART ELECTRODES** 

First Named Inventor:

Philip Eggers

Domestic/Foreign Application:

**Domestic Application** 

Filing Date:

2003-07-16

Effective Receipt Date:

2003-12-02

Submission Type:

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Attorney Docket Number:

**NONE** 

Total Fees Authorized:

Digital Certificate Holder: cn=John Thomas Raffle,ou=Registered Attorneys,ou=Patent and

Trademark Office,ou=Department of Commerce,o=U.S. Government,c=US Certificate Message Digest: 59100c2e2228bd1a13e36567007bc78769924ff8



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Title of Invention

METHODS FOR ELECTROSURGICAL TISSUE TREATMENT BETWEEN SPACED APART ELECTRODES

**Application Number:** 

10/621839

Date:

2003-07-16

First Named Applicant:

Philip E Eggers

**Confirmation Number:** 

1768

Attorney Docket Number:

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| Submitted by:                            | Elec. Sign. | Sign. Capacity |
|------------------------------------------|-------------|----------------|
| Richard Batt<br>Registered Number: 43485 | RRB         | Attorney       |

Documents being submitted

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Title of Invention

METHODS FOR ELECTROSURGICAL TISSUE
TREATMENT BETWEEN SPACED APART ELECTRODES

**Application Number:** 

10/621839

Confirmation Number:

1768

First Named Applicant:

Philip Eggers

Attorney Docket Number:

Search string:

( 4582057 or 5037421 or 5102410 or 5147354

or 5176528 or 5230334 or 5304169 or 5374265 or 5433708 or 5458596 or 5542928 or 5562703 or 5282799 or 5395363 or 5462545 or 5626576 or 5785705 or 5860974 or 5871524 or 5893848 or 5895386 or 5904681 or 5976127 or 6045532 or 6156031 or 6210405 or 6234178 or 6267757 or 6283961 or 6315774 or 6379350 or 6391028

or 6514250 or 4483338 or 5895386 or 6557559

or 20020026186 or 20020049438 ).pn.

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| 17 | 5785705                                                        | 1998-07-28                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                             | Baker                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                 |
| 18 | 5860974                                                        | 1999-01-19                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                             | Abele                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                 |
| 19 | 5871524                                                        | 1999-02-16                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                             | Knowlton                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                              |
| 20 | 5893848                                                        | 1999-04-13                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                             | Negus                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                 |
| 21 | 5895386                                                        | 1999-04-20                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                             | Odell et al.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                          |
| 22 | 5904681                                                        | 1999-05-18                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                             | West, Jr.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                             |
| 23 | 5976127                                                        | 1999-11-02                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                             | Lax                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                   |
| 24 | 6045532                                                        | 2000-04-04                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                             | Eggers et al.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                         |
| 25 | 6156031                                                        | 2000-12-05                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                             | Aita et al.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                           |
| 26 | 6210405                                                        | 2002-04-03                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                             | Goble et al.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                          |
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| 28 | 6267757                                                        | 2001-07-31                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                             | Aita et al.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                           |
| 29 | 6283961                                                        | 2001-09-04                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                             | Underwood et al.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                      |
| 30 | 6315774                                                        | 2001-11-13                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                             | Daniel et al.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                         |
| 31 | 6379350                                                        | 2002-04-30                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                             | Sharkey et al.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                        |
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| 33 | 6514250                                                        | 2003-02-04                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                             | Jahns et al.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                          |
| 34 | 4483338                                                        | 1984-11-20                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                             | Bloom et al.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                          |
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|    | 15 16 17 18 19 20 21 22 23 24 25 26 27 28 29 30 31 32 33 34 35 | 15         5462545           16         5626576           17         5785705           18         5860974           19         5871524           20         5893848           21         5895386           22         5904681           23         5976127           24         6045532           25         6156031           26         6210405           27         6234178           28         6267757           29         6283961           30         6315774           31         6379350           32         6391028           33         6514250           34         4483338           35         5895386 | 15         5462545         1995-10-31           16         5626576         1997-05-06           17         5785705         1998-07-28           18         5860974         1999-01-19           19         5871524         1999-02-16           20         5893848         1999-04-13           21         5895386         1999-04-20           22         5904681         1999-05-18           23         5976127         1999-11-02           24         6045532         2000-04-04           25         6156031         2000-04-03           27         6234178         2001-05-22           28         6267757         2001-07-31           29         6283961         2001-09-04           30         6315774         2001-11-13           31         6379350         2002-04-30           32         6391028         2002-05-21           33         6514250         2003-02-04           34         4483338         1984-11-20           35         5895386         1999-04-20 |

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Note: Applicant is not required to submit a paper copy of cited US Published Applications

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# Remarks

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This submission is part 6 of 6 parts each submitted on December 2, 2003. The first part of this submission (part 1) has been concomittently filed via first class mail with



instructions for the PTO to charge the proper fee. Accordingly, we do not believe that this part (part 6) of this IDS submission should require an additional fee. Please charge our deposit account not more than one IDS submission fee for the entire submission consisting of six parts. Thank you.

| Examiner Name | Date    |
|---------------|---------|
| Leile         | 4/24/07 |



EFS ID:

51559

Application ID:

10621839

**METHODS FOR** 

Title of Invention:

**ELECTROSURGICAL TISSUE** 

TREATMENT BETWEEN SPACED

**APART ELECTRODES** 

First Named Inventor:

Philip Eggers

Domestic/Foreign Application:

**Domestic Application** 

Filing Date:

2003-07-16

Effective Receipt Date:

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Submission Type:

Information Disclosure

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1768

Attorney Docket Number:

NONE

Total Fees Authorized:

Digital Certificate Holder: cn=John Thomas Raffle,ou=Registered Attorneys,ou=Patent and

Trademark Office,ou=Department of Commerce,o=U.S. Government,c=US Certificate Message Digest: 442cb4cb33228d659069ca10adee9ced78de9b0f



Electronic Version v1.1
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Title of Invention METHODS FOR ELECTROSURGICAL TISSUE TREATMENT BETWEEN SPACED APART ELECTRODES

**Application Number:** 

10/621839

Date:

2003-07-16

First Named Applicant:

Philip E Eggers

Confirmation Number:

1768

Attorney Docket Number:

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| Submitted by:                            | Elec. Sign. | Sign. Capacity |
|------------------------------------------|-------------|----------------|
| Richard Batt<br>Registered Number: 43485 | RRB         | Attorney<br>·  |

Documents being submitted

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Title of Invention

METHODS FOR ELECTROSURGICAL TISSUE TREATMENT BETWEEN SPACED APART ELECTRODES

**Application Number:** 

10/621839

Confirmation Number:

1768

First Named Applicant:

Philip Eggers

Attorney Docket Number:

Search string:

( 6190381 or 6210402 or 6277112 or 6102046 or 5375588 or 6530922 or 5197466 or 6602248 or 3939839 or 3970088 or 4074718 or 4092986 or 4181131 or 4184492 or 4567890 or 4660571 or 4727874 or 4920978 or 4936281 or 4966597 or 5047026 or 5047027 or 5084044 or 5080660 or 5088997 or 5099840 or 5108391 or 5112330 or 5167659 or 5217457 or 5217459 or 5277201 or 5306238 or 5423882 or 5441499 or 5451224 or 5454809 or 2056377 or 3828780 or 3901242 or 5102410 or 6632220 or 6632193 or 6468274

or 20020029036 ).pn.

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# **US Published Applications**

Note: Applicant is not required to submit a paper copy of cited US Published Applications



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### Remarks

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| Examiner Name | Date    |
|---------------|---------|
| Ludz          | 414.101 |



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51558

Application ID:

Title of Invention:

10621839

**METHODS FOR** 

**ELECTROSURGICAL TISSUE** 

TREATMENT BETWEEN SPACED

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Philip Eggers

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Information Disclosure

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1768

Attorney Docket Number:

NONE

Total Fees Authorized:

Digital Certificate Holder: cn=John Thomas Raffle,ou=Registered Attorneys,ou=Patent and

Trademark Office,ou=Department of Commerce,o=U.S. Government,c=US Certificate Message Digest: 9f02261e3b8bbb5409a42de9c9ce1ce5d78f9928



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Title of Invention

METHODS FOR ELECTROSURGICAL TISSUE TREATMENT BETWEEN SPACED APART ELECTRODES

**Application Number:** 

10/621839

Date:

2003-07-16

First Named Applicant:

Philip E Eggers

Confirmation Number:

1768

Attorney Docket Number:

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| Submitted by:                            | Elec. Sign. | Sign. Capacity |  |  |
|------------------------------------------|-------------|----------------|--|--|
| Richard Batt<br>Registered Number: 43485 | RRB         | Attorney       |  |  |

Documents being submitted

Files

us-ids

A-1-9Standard3-usidst.xml

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Search string:

( 6074386 or 6090106 or 6093186 or 6228081 or 6261286 or 6293942 or 6306134 or 3815604 or 3920021 or 4590934 or 4785823 or 4805616 or 4832048 or 5085659 or 5171311 or 5207675 or 5888198 or 5891095 or 5902272 or 6066134 or 6149620 or 6159194 or 6159208 or 6179836 or 6190381 or 6203542 or 6224592 or 6235020 or 6238391 or 6254600 or 6264652 or 6296636 or 6296638 or 6312408 or 6322549 or 6355032 or 6363937 or 6379351 or 6391025 or 6416507 or 6416508 or 6432103 or 5873855 or 6024733 or 6063079 or 6109268 or 6117109 or 6142992 or 6179824 or 6183469 ).pn.

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## Remarks

Note: Remarks are not for responding to an office action.

This submission is part 4 of 6 parts each submitted on December 2, 2003. The first part of this submission (part 1) has been concomittently filed via first class mail with instructions for the PTO to charge the proper fee. Accordingly, we do not believe that this part (part 4) of this IDS submission should require an additional fee. Please charge our deposit account not more than one IDS submission fee for the entire submission consisting of six parts. Thank you.

| Examiner Name | Date    |  |
|---------------|---------|--|
| Les           | 4/24/01 |  |



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Application ID:

Title of Invention:

10621839

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TREATMENT BETWEEN SPACED

**APART ELECTRODES** 

First Named Inventor:

**Philip Eggers** 

Domestic/Foreign Application:

**Domestic Application** 

Filing Date:

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2003-12-02

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| Richard Batt<br>Registered Number: 43485 | RRB         | Attorney       |

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or 6056746 or 6068628 ).pn.

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| Examiner Name | Date    |
|---------------|---------|
| Les           | 4126/01 |